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RAYMOND Y.T. WONG
PERIODIC ACTIONS ON (I-D) NORMED
LINEAR SPACES

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#### PERIODIC ACTIONS ON (I-D) NORMED LINEAUR SPACES

Raymond Y.T. Wong \*)

## 1. Introduction.

In this paper we study periodic homeomorphisms of a normed linear space (NLS) E which is homeomorphic  $(\underline{\,}\underline{\,}\underline{\,})$  to  $F^\omega$  or  $F_f^\omega$  for some NLS F, where  $F^\omega$  is the countable infinite product of F and  $F_f^\omega$  is the subspace consisting of all points having at most finitely many non-zero coordinates. (It is known that the class of spaces E includes all separable infinite-dimensional (I-D) Fréchet spaces, all (I-D) Hilbert and reflexive Banach spaces, etc.) One of our main results (Theorem 1) states that any two fixed point free periodic homeomorphisms  $\beta$ ,  $\beta_1$  of prime period q on E (of E onto itself) are conjugate. We accomplish this by showing that their orbit spaces  $E/\beta$ ,  $E/\beta_1$  are homeomorphic (Corollary 1). In view of the classification theorems [8] and [9], we need only to show that they have the same homotopy type. Indeed we prove (Theorem 3) that each orbit space has the same homotopy type as the inductive limit,  $\lim_{n \to \infty} S^{2n-1}/\alpha_n$ , where  $S^{2n-1}/\alpha_n$  is the orbit space of the period q homeomorphism  $\alpha_n$  on the unit sphere  $S^{2n-1} \subset C^n$  which takes each  $(z_0,z_1,\ldots)$  to  $(e^{2\pi i/q}z_0, e^{2\pi i/q}z_1, \ldots)$ .

By basic covering theory, each orbit space  $E/\beta$  is an Eilenberg-MacLane space (see Spanier [14 - 424]) of type ( $\mathbf{Z}q$ , 1); that is, the fundamental group of  $E/\beta$  is isomorphic to  $\mathbf{Z}q$ , the integers modulo q and are trivial in all higher dimensions. Theorem 3 then applies to classify (Theorem 4) all E-manifolds which are Eilenberg-MacLane spaces of type ( $\mathbf{Z}q$ , 1), and in fact each such manifold can be represented as the orbit space of some fixed point free period q homeomorphism on E (Theorem 5). In view of a classification theorem for smooth  $\mathbf{1}_2$ -manifolds ([6], [11]), some of our main results may be restated to obtain results in the category of  $\mathbb{C}^\infty$ -smooth  $\mathbf{1}_2$ -manifolds (see for example, Theorem 2).

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V. Klee ([10]) has shown that any compact set in an (I-D) Hilbert space H may be the fixed points set of some periodic homeomorphism of H of any period. This result was generalized by West ([15]) to include all closed subsets of the space E. Non-trivial examples of periodic homeomorphisms of E are provided by the fact that E is homeomorphic ( $\cong$ ) to E  $\times$  [-1,1] $^{\omega}$  together with the following theorem of West ([16]):

The product of a countably infinite collection of (non-degenerate) compact, contractible polyhedra is homeomorphic with  $[-1,1]^{\omega}$ .

We remark that our technique do not apply to dealing with periodic homeomorphisms which are not fixed point free. The situation is not known even for involutions (period 2 homeomorphisms) having exactly one fixed point (in [18] some partial results are obtained in this direction). Apparently one needs an appropriate version of non(almost)-manifold classification.

# 2. Statement of principle results

# Hypothesis

- (1) Throughout this paper, let E stand for a normed linear space (NLS) which is homeomorphic to  $F^{\omega}$  or  $F_{f}^{\omega}$  for some NLS F.
  - (2) Let q > 1 stand for an arbitrary prime number.

For any space X, two homeomorphisms f,g:  $X \to X$  are said to be <u>conjugate</u> (or <u>equivalent</u>) provided there is a third homeomorphism h:  $X \to X$  such that  $h \circ f = g \circ h$ .

Theorem 1 (Conjugation) Any two fixed point free periodic homeomorphisms on E of period q are conjugate.

There is associated with a periodic homeomorphism  $\beta$  on a space X the orbit space X/ $\beta$ . If  $\beta$  is fixed point free, then the natural projection p: X  $\rightarrow$  X/ $\beta$  is a q-fold covering map. If X = E, then E/ $\beta$  is a connected metrizable E-manifold whose fundamental group is isomorphic to  $\mathbf{z}q$  (see for example, 2.7.6 and 2.7.8 of Spanier [14]) and are trivial in all higher dimensions. If  $\beta_1$  is another fixed point free periodic homeomorphism on E of period q, we shall prove, in Corollary 1, that E/ $\beta$  and E/ $\beta_1$  are homeo-

morphic. In fact, we show that there is a homeomorphism h:  $E/\beta \rightarrow E/\beta$ , which induces a fibre homeomorphism  $h_{\star}$ : E  $\rightarrow$  E satisfying  $h_{\star} \circ \beta = \beta_{1} \circ h_{\star}$ . If we let  $E = 1_2$  (the separable Hilbert space of all square summable real sequences) and let  $\beta$ ,  $\beta_1$  be  $C^{\infty}$ -smooth, then  $E/\beta$  and  $E/\beta_1$  are  $C^{\infty}$ -smooth  $1_2$ manifolds for which the projections  $p_i \to E/\beta$ ,  $p:E \to E/\beta_1$  are also  $C^{\infty}$ smooth. In view of the classification for smooth 1,-manifolds ([6], [11]): Every homotopy equivalence between C -smooth 12-manifolds is homotopic to a homeomorphism, we can, with exactly the same argument, assume h:  $E/\beta \rightarrow E/\beta_1$ , as obtained above, is  $C^{\infty}$ -smooth. Then  $h_{\star}$  is necessarily  $C^{\infty}$ -smooth since locally  $h_1 = p_1^{-1} \circ h \circ p$ . Thus we have

Theorem 2. Let  $\beta$ ,  $\beta_1$  be fixed point free periodic  $C^{\infty}$ -diffeomorphisms on  $l_2$  of period q. Then there is a  $C^{\infty}$ -diffeomorphism  $h_{\star}$  on  $l_2$  such that  $h_{\star} \circ \beta = \beta_{1} \circ h_{\star}$ 

A real (or complex) Hilbert space H is the space  $1_2(X)$  of all square summable real (respectively, complex) sequences indexed by an infinite abstract set I(X) of cardinality X. Denote  $\mathbf{1}_2 = \mathbf{1}_2(X_0)$ . A point in  $\mathbf{1}_2(X)$ will be denoted by  $(z_0, z_1, ...)$  where  $i(k) \in I(X_0)$ . Since it is known that  $H \cong H^{\omega}([3])$ , Theorem 1 and 2 then apply to all spaces homeomorphic (diffeomorphic) with H (resp.,  $1_9$ ), in particular, the unit sphere S of H (Klee [10]. Indeed Bessaga has shown ([2]) that S is  $C^{\infty}$ -diffeomorphic to H when  $H = 1_2$ . These facts are useful since periodic homeomorphisms on S then induce to ones on H and there are several well-known canonical fixed point free periodic maps on S. In the following we consider, for H a complex Hilbert space, examples (A) for q = 2, the antipodal map A : S  $\rightarrow$  S such that A(z) = -z; (B) let  $q_1, q_2, \ldots$  be positive integers relatively prime to q. Then for any  $(z_0, z_1, ...) \in S$ , define  $A(z_0, z_1, ...) =$ =  $(e^{2\pi i/q}z_0, e^{2\pi i/q}z_1, e^{2\pi i/q}z_2, ...)$ ; and (C) let H =  $l_2$  (complex Hilbert

space). Define  $\alpha: S \to S$  by

$$\alpha(z_0, z_1, ...) = (e^{2\pi i/q}z_0, e^{2\pi i/q}z_1, ...).$$

The orbit space S/A in example (B) may be called an (I-D) version of generalized lens space and will be denoted by  $L(q,q_1,q_2,...)$ . For  $n \ge 1$ , let  $C^n$ 

denote the usual 2n-dimensional complex space in  $1_2$  and  $S^{2n-1}$  its unit sphere (do not confuse the 1-sphere  $S^1$  with S). Let  $\alpha\colon S\to S$  be defined as in example (C).  $\alpha$ , when restricted to  $S^{2n-1}$ , induces a period q homeomorphism  $\alpha_n\colon S^{2n-1}\to S^{2n-1}$ ,  $n=1,2,\ldots$ . Let  $\lim_{n\to\infty} S^{2n-1}$  denote the inductive limit of  $\{S^{2n-1}\}_{n\geq 1}$ ; that is,  $\lim_{n\to\infty} S^{2n-1}$  is the CW complex which is the union of the sequence  $S^1\subset S^3\subset\ldots$  topologized by the topology coherent with the collection  $\{S^{2n-1}\}_{n\geq 1}$ . Similarly there is an inductive limit,  $\lim_{n\to\infty} S^{2n-1}/\alpha_n$ , corresponding with the collection  $\{S^{2n-1}/\alpha_n\}_{n\geq 1}$ . By basic covering theory, the homotopy groups of  $\lim_{n\to\infty} S^{2n-1}/\alpha_n$  are isomorphic to  $\{\mathbb{Z}q,0,0,\ldots\}$  (hence is an Eilenberg-MacLane space of type ( $\mathbb{Z}q$ , 1)). In fact we prove

Theorem 3. Let M be a metrizable connected E-manifold whose homotopy groups are isomorphic to  $\{\mathbf{Z}q,0,0,\ldots\}$ . Then M has the same homotopy type as the CW complex  $\lim_{n \to \infty} S^{2n-1}/\alpha_n$ .

This together with the classification theorem of [8] and [9] then yields

Theorem 4 (Classification) Let M,  $M_1$  be metrizable connected E-manifolds each with homotopy groups isomorphic to {Zq,0,0,...}. Then  $M \cong M_1$ .

Corollary 1. Let  $\beta$ ,  $\beta_1$ :  $E \rightarrow E$  be fixed point free periodic homeomorphisms of period q, Then  $E/\beta \cong E/\beta_1$ .

Let M be as in Theorem 3. The universal covering space  $\widetilde{M}$  of M is a homotopically trivial E-manifold such that the projection  $p_1 \colon \widetilde{M} \to M$  is a q-fold covering map. Hence  $\widetilde{M} \cong E$  ([8]) and we have

Theorem 5 (Representation) Let M be a metrizable connected E-manifold. Then there is a q-fold covering projection  $p_1: E \to M$  and a fixed point free periodic homeomorphism  $\beta: E \to E$  of period q such that  $\beta$  induces a homeomorphism  $\beta: E/\beta \to M$  for which the following diagram commutes

$$E \xrightarrow{\beta} E$$

$$\downarrow p$$

$$E/\beta \xrightarrow{\star} M$$

#### 3. Application and other results

For matter of convenience we introduce the category whose objects are pairs  $(X,\beta)$ ,  $(X_1,\beta_1)$ , ... where X,  $X_1$  are spaces equipped with periodic homeomorphisms  $\beta$ ,  $\beta_1$ , ... of period q, and whose morphisms are maps  $m\colon (X,\beta)\to (X_1,\beta_1)$  of pairs such that m is a map of X into  $X_1$  which commutes with  $\beta$ ,  $\beta_1$  that is,  $\beta_1$  of m = m or  $\beta$ . We can speak of m as an imbedding homeomorphism, etc.

For any map h:  $X \to X$ , denote by fp(h) the set of fixed points of h. The <u>reflection</u> map  $x \to -x$  of any topological vector space will always be denoted by  $\gamma$ .

# Homeomorphism extension

Let X be a space homeomorphic to  $X \times F$ , F a TVS. We say a set  $Y \subseteq X$  is F-<u>deficient</u> if there is a homeomorphism h:  $X \to X \times F$  such that  $h(Y) \subseteq X \times \{0\}$ . (See [1] and [4] for the equivalence of F-deficiency with the concept of Z-sets of Anderson.)

Theorem 6. Let A be a closed H-deficient subset of a complex Hilbert space H. Then each period q homeomorphism  $\beta$  on A extends to a period q homeomorphism  $\widetilde{\beta}$  on H such that  $fp(\beta) = fp(\widetilde{\beta})$ .

<u>Proof.</u> First we remark that for any metric locally convex TVS  $F \cong F^{\times}F$ , by a technique of Klee ([10]), any homeomorphism between two closed F-deficient subsets of F extends to one on F. Denote by  $\Delta q$  the diagonal  $\{(x,x,\ldots): x \in K\}$  of  $H^{Q} = H^{\times}H^{\times}\ldots^{\times}H$  (q times).

Let  $\phi: H \to H \times H$  be a homeomorphism such that  $\phi(A) \subset H \times \{0\}$ . For any  $a \in A$ , denote  $\phi(a) = (a_0,0)$  and  $\phi(\beta^n(a)) = (a_n,0)$ ,  $n=1,\ldots,q-1$ . Define  $m_1: A \to H^q \times H^q$  by  $m_1(a) = (a_0,\ldots,a_q) \times (0,0,\ldots,0)$  and  $\gamma_\Delta: H^q \to H^q$  by  $\gamma_\Delta(z_0,z_1,\ldots,z_{q-1}) = (z_{q-1},z_0,z_1,\ldots,z_{q-2})$ . Let  $p_1: H^q \times H^q \to H^q$  be the projection onto the first factor. Denote  $p_1 \circ m_1(fp(\beta))$  by  $K_1$ . Consider the following commutative diagram

$$\begin{array}{cccc}
A & \xrightarrow{m_1} & (H^{Q} \setminus K) \times H^{Q} \\
\downarrow & & & & & & & & & & & \\
A & \xrightarrow{m_1} & (H^{Q} \setminus K) \times H^{Q} & & & & & \\
\end{array}$$

where  $K = \Delta_q \setminus K_1$  and  $\gamma$  the reflection on  $H^q$ .

It is elementary to note that  $\Delta_q$  is a H-deficient subset of  $H^Q$ . Thus K is a locally closed (that is, a difference of two closed sets,  $\Delta_q$  and  $K_1$ ) H-deficient subset of  $H^Q \cong H$ . Hence by Cutler ([5]),  $H^Q \setminus K \cong H$ . So let  $m_2 \colon (H^Q \setminus K) \times H^Q \to H$  be a homeomorphism. Using the remark above we can extend  $m_2 \circ m_1 \colon A \to H$  to a homeomorphism  $\lambda$  on H. Let  $\beta_1 = m_2 \circ (\gamma_\Delta \times \gamma) \circ m_2^{-1} \colon H \to H$ . It is clear that  $\beta_1$  is a period q homeomorphism such that  $fp(\beta_1) = m_2(K_1)$ . Then  $\widetilde{\beta} = \gamma^{-1} \circ \beta_1 \circ \lambda$  is the required extension of  $\beta$ .

# Closed imbeddings

Theorem 7. Let X be a space which can be imbedded as a closed subset of a Hilbert space H. Then for any two fixed point free period q homeomorphisms  $\beta$ ,  $\beta_1$  on X, H respectively, there is a closed imbedding m:  $(X,\beta) \rightarrow (H,\beta_1)$ .

<u>Proof.</u> Let  $m_1: X \to H \times H$  be a closed imbedding such that  $m(X) \subset \{0\} \times H$ . By theorem 6 the induced map  $m_1 \circ \beta \circ m_1^{-1}: m_1(X) \to m(X)$  extends to a fixed point free period q homeomorphism  $\widetilde{\beta}$  on  $H \times H$ . By theorem 1 there is a homeomorphism  $m_2: (H \times H, \widetilde{\beta}) \to (H, \beta)$ . Then let  $m = m_2 \circ m_1$ .

Let X = M be a metrizable connected H-manifold. By Henderson-West [8 - Theorem 6] M can be imbedded as a closed sub-manifold of H. Hence the proof above also yields

Corollary 2. Let  $\beta$ ,  $\beta_1$  be fixed point free period q homeomorphisms on M, H respectively. Then there is a closed imbedding m:  $(M,\beta) \rightarrow (H,\beta_1)$  such that m(M) is a sub-manifold of H.

### Negligible subsets

Theorem 8. Let  $K_1$ ,  $K_2$ , ... be closed H-deficient subsets of H. Suppose  $\beta$ ,  $\beta_1$ :  $H \to H$  are fixed point free periodic homeomorphisms of period q such that  $\beta(K) = K$ , where  $K = \bigcup_{i \in I} K_i$ , then there is a homeomorphism  $M: (H \setminus K, \beta) \to (H, \beta_1)$ .

<u>Proof.</u> By Cutler ([5 - Theorem 1]), there is a homeomorphism  $m_1$ :  $H\setminus K \to H$ . Let  $\alpha_1 = m_1 \circ \beta \big|_{H\setminus K} \circ m_1^{-1}$ . By Theorem 1 let  $m_2$ :  $(H,\alpha_1) \to (H,\beta_1)$  be a homeomorphism. Then  $m = m_2 \circ m_1$  is as required.

#### Homeomorphism spaces are contractible

For any space X, let  $G_0(X)$  denote the subspace of G(X) consisting of all periodic homeomorphisms and  $G_n(X) = \{\beta \in G_0(X) : \text{ period } (\beta) = n, n \geq 1\}$ .

<u>Proof.</u> The same as Theorem 6 of [18]. Renz in [13] shows that G(E) is contractible. If the construction of the contraction  $\Phi(h,t)$  in [13 - 184] is replaced by

$$\Phi(h,t) = \phi^{(n)}(\cdot,t)^{-1} \circ h^{(n)} \circ \phi^{(n)}(\cdot,t),$$

then we get a contraction denoted by  $\{\phi_t\}$  with the desired properties of the theorem.

## Periodic stability of homeomorphisms

A subset K of a space X is said to be <u>deformable</u> if for each open set U in X, there is a  $g \in G(X)$  such that  $g(K) \subseteq U$ . An open set  $U \subseteq X$  is said to contain a <u>dilation system</u> if there is a sequence of pairwise disjoint open sets  $B_0$ ,  $B_1$ , ... in U converging to a point  $p \in U$  and a homeomorphism r supported in U such that  $r(B_{i+1}) = B_i$ ,  $i \ge 0$ . We sometimes call  $(B_i, r)_{i \ge 0}$  a dilation system in U.

Theorem 10. Suppose X is a metric space in which every open set contains a dilation system. Let  $N \subseteq G(X)$  be the normal subgroup consisting of all finite compositions of  $g \in G(X)$  such that supp(g) is deformable. Then N is simple.

<u>Proof.</u> The following proof is derived from a technique of Fisher (On the group of all homeomorphisms of a manifold, Trans. A.M.S., 97(1960), 193-212). Suppose  $h(\neq id) \in G(X)$ . Then for some open  $U \subseteq X$ ,  $h^{-1}(U) \cap U = \emptyset$ . Let  $(B_i,r)_{i\geq 0}$  be a dilation system in U. Denote  $B = \bigcup_{i\geq 1} B_i$ . Suppose  $g \in G(X)$  such that  $\sup_{i\geq 1} g \subseteq B_i$ , then define  $\emptyset: X \to X$  (supported in B) by  $\emptyset|_{B_i} = r^{1-i} \circ g \circ r^{i-1}|_{B_i}$  for  $i \geq 1$  ( $r^0 = id$ ) and  $\emptyset(x) = x$  otherwise. Note

that 
$$\emptyset|_{B_1} = g|_{B_1}$$
. Consider 
$$w = (r^{-1} \circ \emptyset^{-1} \circ h^{-1} \circ \emptyset \circ r)(r^{-1} \circ h \circ r) \circ h^{-1} \circ (\emptyset^{-1} \circ h \circ \emptyset).$$

The same proof as [Fisher - p. 197] shows that w = g. If  $g \in G(X)$  such that  $\operatorname{supp}(g)$  is deformable, then by definition there is a  $f \in G(X)$  such that  $f(\operatorname{supp}(g)) \subset B_1$ . Thus  $f \circ g \circ f^{-1}$  is supported in  $B_1$  and  $g = f^{-1} \circ (f \circ g \circ f^{-1}) \circ f$ . It follows that each  $g \in N$  is a finite composition of conjugations of  $\{h,h^{-1}\}$ . Now suppose  $N_0$  is any normal subgroup of N containing an h other than the identity. By what we have just shown, each  $g \in N$  is a member of  $N_0$ . Thus  $N_0 = N$  and Theorem 10 is proved.

It is known that for X = Q, s or any normed linear space  $E \cong E^{\omega}$ , G(X) is stable ([14], [22]), in the sense that every  $f \in G(X)$  can be written as a finite composition  $f_n \dots f_2 f_1$  of homeomorphisms of X such that each  $f_i$  is the identity on some non-void open subset of X. By well-known properties of X, it is routine to verify that

- (1) if  $f \in G(X)$  is the identity on some non-void open subset of X, then supp(f) is deformable.
- (2) each open  $U \subseteq X$  contains a dilation system. Hence we have

Corollary 3. Let X be a space homeomorphic to Q, s or any normed linear space  $E \cong E^{\omega}$ . Then G(X) is simple.

For each fixed  $k \ge 0$ , the collection of all finite compositions of members in  $G_k(X)$  clearly forms a non-trivial normal subgroup of G(X). Hence  $G_k(X)$  is entirely G(X), which proves

Theorem 11. Let X be as above. Then for any  $h \in G(X)$  and any  $k \ge 0$ , there are  $h_1, \ldots, h_n \in G_k(X)$  such that  $h = h_n \circ \ldots \circ h_2 \circ h_1$ .

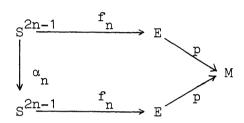
# 4. The key lemma.

In this section a basic knowledge of covering theory is assumed. Two maps f,g: X  $\rightarrow$  Y are homotopic relative A  $\subset$  X, written f  $\sim$  g rel(A), if there is a homotopy  $\{\lambda_t\}$  joining f and g such that  $\lambda_t(a) = \lambda_0(a)$  for all a  $\in$  A, t  $\in$  [0,1].

Let S be the unit sphere of the separable complex Hilbert space  $l_2$  and let  $\alpha \colon S \to S$ ,  $\alpha_n \colon S^{2n-1} \to S^{2n-1}$  be defined as in section 2.

<u>Lemma 1</u> (The key lemma) Let  $p: E \rightarrow M$  be a q-fold covering projection onto an E-manifold M. Then for any  $a_0 \in S^1$  (the 1-sphere) and any two distinct points  $b_0$ ,  $b_1 \in p^{-1}(b)$ ,  $b \in M$ , there is a sequence of imbeddings  $f_n: S^{2n-1} \to M$ ,  $n \ge 1$ , such that (1)  $f_1(a_0) = b_0$ ,  $f_1 \circ a_1(a_0) = b_1$ ,

- (2) for all  $n \ge 1$ ,  $f_{n+1}|_{S^{2n-1}} = f_n \text{ and }$
- (3)  $p \circ f_n(x) = p \circ f_n \circ \alpha_n(x) \text{ for all } x$ ,



To give a proof we need

Lemma 2. Let M ⊂ E be open and (K,L) be a finite simplicial pair. Suppose g: K  $\rightarrow$  M is a map such that g|<sub>L</sub> is piecewise linear, then there is a piecewise linear  $\widetilde{g}$ : K  $\rightarrow$  M such that  $\widetilde{g} \sim \widetilde{g}$  rel(L) and for x  $\neq$  y,  $\widetilde{g}(x) = \widetilde{g}(y)$ only if  $\{x,y\} \in L$ .

Proof. This is a routine consequence of the infinite dimensionality of M together with the linear structure on E.

<u>Iemma 3</u>. <u>Let p: E → M be as in Lemma 1 and e<sub>1</sub>, e<sub>2</sub> be distinct points</u> in  $p^{-1}(b)$  for some  $b \in M$ . Suppose for  $i = 1, 2, \lambda_i$ : ([0,1],0)  $\rightarrow$  (E,e<sub>i</sub>) are liftings of an imbedding  $\lambda_0: ([0,1],0) \rightarrow (M,b)$ , then  $\lambda_1([0,1]) \cap \lambda_2([0,1]) = \emptyset$ .

<u>Proof.</u> Suppose for some  $x \neq 0$ ,  $\lambda_1(x) = \lambda_2(x)$ . Then the restrictions of  $\{\lambda_i\}$  induce distinct maps  $\widetilde{\lambda}_i: ([0,x],x) \to (E,\lambda_i(x))$ , which for both i=1and 2, is a lifting of  $\lambda_0|_{[0,x]}$ : ([0,x], x)  $\rightarrow$  (M, $\lambda_0(x)$ ). This is impossible. <u>Proof of Lemma 1.</u> By Henderson-West ([8 - Theorem 7]) there is an open set  $M_1 \subset E$  and a homeomorphism h:  $M_1 \to M$ . The usual technique of pull-back then induces a covering projection  $p_1 \colon E_1 \to M_1$  and a (fibre) homeomorphism  $h_1 \colon E_1 \to E$  satisfying  $p \circ h_1 = h \circ p_1 \cdot So$ , without loss of generality, we may suppose M is an open subset of E.

We shall construct the sequence  $\{f_n\}$  by induction. First consider n=1. Let  $a_0 \in S_1$  be as given by the lemma. Denote  $a_k = \alpha_1^k(a_0) \pmod q$ , where  $\alpha_1^k$  is the k-iterate  $\alpha_1 \circ \alpha_1 \circ \ldots \circ \alpha_1$  of  $\alpha_1$ . Denote by  $A[a_{n-1},a_n]$  the closed arc in  $S^1$  joining  $a_{n-1}$  to  $a_n$  in the counter-clockwise direction. Let  $\lambda\colon ([0,1],0) \to (E,b_0)$  be any map such that  $\lambda(1) = b_1$ . By Lemma 2 we may replace the loop  $p \circ \lambda\colon ([0,1],0) \to (M,b)$  by a piecewise linear map  $\lambda_0\colon ([0,1],0) \to (M,b)$  satisfying  $\lambda_0 \sim p \circ \lambda$  rel(0,1) and for  $x\neq y$ ,  $\lambda_0(x) = \lambda_0(y)$  only if  $\{x,y\} \subset \{0,1\}$ . Denote by  $\lambda_0^q$  the usual composition  $\lambda_0 \star \lambda_0 \star \ldots \star \lambda_0$  (q-times) in the homotopy group; that is,  $\lambda_0^q\colon ([0,1],0) \to (M,b)$  is a map such that  $\lambda_0^q(x) = \lambda_0(q(x-(k-1)/q))$  for  $x = [(k-1)/q, k/q], k = 1, 2, \ldots, q$ . Since  $\pi_1(M) \approx \mathbb{Z}q$ ,  $\lambda_0^q \sim c$  rel(0,1), where  $c\colon [0,1] \to (M,b)$  is the constant map.

Let  $\widetilde{\lambda}$ : ([0,1],0)  $\rightarrow$  (E,b\_0) be the lifting of  $\lambda_0^q$ . Then  $\widetilde{\lambda}(0) = \widetilde{\lambda}(1)$  and we assert that for  $x \neq y$ ,  $\widetilde{\lambda}(x) = \widetilde{\lambda}(y)$  only if  $\{x,y\} \in \{0,1\}$ . To see this suppose  $0 \leq x \leq y \leq 1$  and  $\widetilde{\lambda}(x) = \widetilde{\lambda}(y)$ . By definition of  $\lambda_0^q$ , there are points  $x_0,y_0 \in [0,1]$  such that  $\lambda_0(x_0) = \lambda_0^q(x)$  and  $\lambda_0(y_0) = \lambda_0^q(y)$ . So  $\lambda_0(x_0) = \lambda_0^q(x) = p \circ \widetilde{\lambda}(x) = p \circ \widetilde{\lambda}(y) = \lambda_0^q(y) = \lambda_0(y_0)$ . Hence  $x_0,y_0 \in \{0,1\}$ . This implies that x and y both belonged to the end-point sets of the interval in which they are contained. So for some  $0 \leq m < n \leq q$ , x = m/q and y = n/q. Since  $\widetilde{\lambda}(m/q) = \widetilde{\lambda}(n/q)$ ,  $[\lambda_0^{n-m}] = [\lambda_0^q]_{[m/q,n/q]} = [c]$ , where  $[\cdot] \in \pi_1(M)$  and [c] is the class of constant map c. Thus  $[\lambda_0^{q-(n-m)}] = [\lambda_0^{q-(n-m)} *c] = [\lambda_0^{q-(n-m)}] = [c]$ . Since q is a prime and  $[\lambda_0] \neq [c]$ , this is possible only if q - (n-m) = 0 or x = 0, y = 1. Hence  $\{x,y\} \in \{0,1\}$  and our assertion is verified.

Define  $f_1: S^1 \to E$  as follows. Fixed a homeomorphism  $\mu: A[a_0,a_1] \to [0,1/q]$  with  $\mu(a_0) = 0$ . For any  $x \in A[a_{n-1},a_n]$ , let  $x_0 \in A[a_0,a_1]$  be the unique point such that  $\alpha_1^{n-1}(x_0) = x$ . Then let  $f_1(x) = \lambda(\mu(x) + (n-1)/q)$ . It is routine to verify that  $f_1$  is an imbedding which satisfies  $f_1(a_0) = b_0$ ,  $f_1(a_1) = b_1$  and  $p \circ f_1(x) = p \circ f_1 \circ \alpha_1(x)$  for

all  $x \in S^1$  as required by the lemma.

Now suppose  $f_k \colon S^{2k-1} \to E$  has been constructed. Since  $p \circ f_1 \colon S^1 \to M$  is piecewise linear, we may require that in addition  $p \circ f_k$  is piecewise linear and we shall construct  $f_{k+1}$  for the lemma such that  $p \circ f_{k+1}$  is also piecewise linear. Denote by  $C^k$  the product  $C_1 \times C_2 \times \ldots \times C_k$  of complex spaces  $C_1 = C$ . Recall that  $C^k$  is regarded as  $C^k \times C_k \times C_{k+1}$  and  $C_k \times C_k \times C$ 

$$L(z) = \{(sz_1, tz) \in S^{2k+1}: z_1 \in S^{2k-1}, s, t \in [0,1] \text{ and } s^2 + t^2 = 1\}.$$

We may view L(z) as a cone over  $S^{2k-1}$  with vector {z}. By the definition of  $\alpha_{k+1} \colon S^{2k+1} \to S^{2k+1}$ ,  $\alpha_{k+1}(S_0) = S_0$  and the action  $\alpha_{k+1}|_{S_0}$  is the same as  $\alpha_1$  on  $S^1$ . Fix any  $c_0 \in S_0$ . Let  $c_n = \alpha_{k+1}^n(c_0)$  (mod q) and let  $A[c_{n-1}, c_n]$  be defined the same way as  $A[a_{n-1}, a_n]$ . Denote  $L[c_{n-1}, c_n] = \cup \{L(z) \colon z \in A[c_{n-1}, c_n]\}$ . Clearly  $S^{2k+1} = \bigcup_{n=1}^q L[c_{n-1}, c_n]$  and  $\alpha_{k+1}^{n-1}$  ( $L[c_0, c_1]$ ) =  $L[c_{n-1}, c_n]$ .

Using the existence of  $f_1$  and the infinite-dimensionality of M, we can extend  $f_k\colon S^{2k-1}\to E$  to an imbedding  $f_k'\colon S^{2k-1}\cup S_0\to E$  such that  $p\circ f_k'$  is piecewise linear and satisfies  $p\circ f_k'(x)=p\circ f_k'\circ \alpha_{k+1}(x)$  for all x. Let  $d_n=f_k'(c_n)$  (mod q). Then for some  $d\in M$ ,  $\{d_n\}\subset p^{-1}(d)$ . By the linear structure on E, we can extend  $f_k'|_{S^{2k-1}\cup\{c_0\}}$  to a map  $g_0\colon (L(c_0),c_0)\to (E,d_0)$ .

By Lemma 2 we may replace the map  $p \circ g_0: (L(c_0),c_0) \to (M,d)$  by a piecewise linear map  $g_1: (L(c_0),c_0) \to (M,d)$  such that  $g_1 \sim p \circ g_0 \operatorname{rel}(S^{2k-1} \cup \{c_0\})$  and for  $x \neq y$  in  $L(c_0)$ ,  $g_1(x) = g_1(y)$  only if  $x,y \in S^{2k-1}$ . Since  $L(c_0)$  is simply connected, we can lift  $g_1$  to a map  $g_1: (L(c_0),c_0) \to (E,d_0)$ . We verify easily that  $g_1$  is an extension of  $f'_k|_{S^{2k-1} \cup \{c_0\}}$  and by hypothesis of  $g_1$ ,  $g_1$  is an imbedding.

Define  $g_n: (L(c_n), c_n) \to (M, d)$  by  $g_n(x) = g_1 \circ \alpha_{k+1}^{-n}(x)$ . Let  $\widetilde{g}_n: (L(c_n), c_n) \to (E, d_n)$  denote the lifting of  $g_n$ . Then each  $\widetilde{g}_n$  is an imbedding extending  $f_k'|_{S^{2k-1} \cup \{c_n\}}$  and satisfies  $p \circ \widetilde{g}_n(x) = p \circ \widetilde{g}_{n+1} \circ \alpha_{k+1}(x)$ 

for all  $x \in L(c_n)$ . We assert that  $\widetilde{g}_n(x) = \widetilde{g}_m(y)$  only if x = y. To see this suppose for some  $x \in L(c_m)$ ,  $y \in L(c_n)$ ,  $\widetilde{g}_m(x) = \widetilde{g}_n(y)$ . There are points  $x_0, y_0 \in L(c_0)$  for which  $\alpha_{k+1}^m(x_0) = x$ ,  $\alpha_{k+1}^n(y_0) = y$ . Thus

 $g_1(x_0) = g_m(x) = p \circ \tilde{g}_m(x) = p \circ \tilde{g}_n(y) = g_n(y) = g_1(y_0)$ . Then

(1) If  $x_0 \neq y_0$ , by hypothesis of  $g_1$ ,  $\{x_0, y_0\} \in S^{2k-1}$ . Hence  $\{x, y\} \in S^{2k-1}$ .

but since  $f_k'(x) = g_m(x) = g_n(y) = f_k'(y)$ , we have x = y.

(2) If  $x_0 = y_0$ , we may suppose  $x_0 \notin S^{2k-1} \cup S_0$  (otherwise the conclusion follows easily). Let L be an arc in  $L(c_0)$  joining  $x_0$  and  $c_0$  such that  $g_1|_L: (L,c_0) \to (M,d)$  is an imbedding. Then the restrictions  $g_m \circ \alpha_{k+1}^m|_L: (L,c_0) \to (E,d_m)$  and  $g_n \circ \alpha_{k+1}^n|_L: (L,c_0) \to (E,d_n)$  are both liftings of  $g_1|_L$ . Since we assume  $g_m \circ \alpha_{k+1}^m(x_0) = g_m(x) = g_n(y) = g_n \circ \alpha_{k+1}^n(x_0)$ , by Lemma 3 this is the case only when  $m = n \pmod q$ . But  $q_n$  is one-to-one, so x = y.

Define  $\widetilde{f}_k$ :  $(\bigcup_{n=0}^{q-1} L(c_n)) \cup S_0 \to E$  by  $\widetilde{f}_k|_{L(c_n)} = \widetilde{g}_n$  and  $\widetilde{f}_k|_{S_0} = \widetilde{f}_k'|_{S_0}$ . By

what we have just shown,  $\widetilde{f}_k$  is an imbedding which satisfies  $p \circ \widetilde{f}_k(x) = p \circ \widetilde{f}_k \circ \alpha_{k+1}(x)$  for all x.

We shall employ exactly the same process to obtain an extension  $f_{k+1}\colon S^{2k+1}\to E. \text{ Let }h_0\colon (\text{L[c}_0,c_1],c_0)\to (\text{E},d_0) \text{ be any map extending }\widetilde{f}_k\big|_{B_1},$  where  $B_1=L(c_0)\cup L(c_1)\cup A[c_0,c_1].$  By Lemma 2 we may replace the map  $p\circ h_0\colon (\text{L[c}_0,c_1],c_0)\to (\text{M},d)$  by a piecewise linear map  $h_1\colon (\text{L[c}_0,c_1],c_0)\to (\text{M},d)$  such that  $h_1\sim p\circ h_0$  rel( $B_1$ ) and for  $x\neq y$  in  $\text{L[c}_0,c_1],\ h_1(x)=h_1(y)$  only if  $x,y\in B_1.$  For  $n=1,2,\ldots,q-1,$  define  $h_n\colon (\text{L[c}_{n-1},c_n],c_{n-1})\to (\text{M},d)$  by  $h_n(x)=h_1\circ \alpha_{k+1}^{-(n-1)}(x)$   $(\alpha_{k+1}^0=\text{identity}).$  Since  $\text{L[c}_{n-1},c_n]$  is simply connected, we can lift  $h_n$  to a map  $\widetilde{h}_n\colon (\text{L[c}_{n-1},c_n],c_{n-1})\to (\text{E},d_{n-1}).$  We verify easily that each  $\widetilde{h}_n$  is an imbedding extending  $\widetilde{f}_k\big|_{B_1}$ , where  $B_n=L(c_{n-1})\cup L(c_n)\cup A[c_{n-1},c_n],$  and satisfies  $p\circ \widetilde{h}_n(x)=p\circ \widetilde{h}_{n+1}\circ \alpha_{k+1}(x)$  for all  $x\in L[c_{n-1},c_n].$  It follows from exactly the same argument as for maps  $\{\widetilde{g}_n\}$  that  $\{\widetilde{h}_n\}$  satisfies  $\widetilde{h}_n(x)=\widetilde{h}_m(y)$  only if x=y. Define  $f_{k+1}\colon S^{2k+1}\to E$  by  $f_{k+1}\big|_{L[c_{n-1},c_n]}=\widetilde{h}_n.$  Then  $f_{k+1}$  extends  $f_k$  and fulfills all the requirements of the lemma.

# 5. Proof of Theorems 1, 3 and 5

<u>Proof of Theorem 3</u>. As pointed out in the discussion following the statement of Theorem 4, there is a q-fold covering projection  $p: E \to M$ . Fix

 $a_0 \in S^1 \text{ and distinct points } b_0, b_1 \in p^{-1}(b), b \in M. \text{ Let } f_n \colon S^{2n-1} \to M \text{ be a sequence of imbeddings satisfying conditions } (1) - (3) \text{ of Lemma 1. By the usual technique of stereo-projection of } S\setminus \{\text{point}\} \text{ onto a hyperplane of } H, we see that <math>(\lim_{n \to \infty} S^{2n-1}) \setminus \{\text{point}\} \cong \lim_{n \to \infty} E^n, \text{ where } E^1 \subset E^2 \subset \dots \text{ are finite dimensional subspaces of } H. \text{ Consequently } \lim_{n \to \infty} S^{2n-1} \text{ is homotopically trivial.}$ By conditions (2) and (3) of Lemma 1,  $\{f_n\}$  induces one-to-one maps  $\{f_n\} : \lim_{n \to \infty} S^{2n-1} \to E \text{ and } \{f_n\} : \lim_{n \to \infty} S^{2n-1} \to$ 

ding,  $\lambda_0(1) = a_0$ . We have shown that  $\lim_{n \to \infty} S^{2n-1}$  is homotopically trivial,  $\xrightarrow{}$  hence  $\lambda$  belongs to the homotopy class of the constant loop. Thus  $f_\#$  is one-to-one. Next suppose  $\mu$ : ([0,1],0)  $\rightarrow$  (M,b) is any loop. Denote the lifting of  $\mu$  to (E,b\_0) by  $\widetilde{\mu}$ . Then  $\widetilde{\mu}(0) = b_0$  and  $\mu(1) = b_1$  for some  $b_1 \in p^{-1}(b) \subset f_1(S^1)$ . Let  $\mu_0$ : ([0,1],0)  $\rightarrow$  (S<sup>1</sup>,a\_0) be any map for which  $\mu_0(1) = f_1^{-1}(b_1) = \widetilde{f}^{-1}(b_1)$ . By the linear structure on E,  $\widetilde{f} \circ \mu_0 \sim \widetilde{\mu}$  rel(0,1). This implies  $f \circ p_0 \circ \mu_0 \sim \mu$ . So  $f_\#$  is onto and the claim is complete.

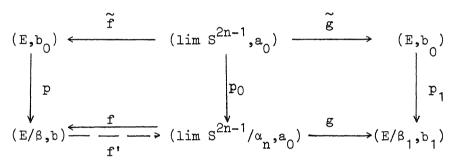
By Palais ([12 - Theorem 14]) and Whitehead ([17 - Theorem 1]), f is in fact a homotopy equivalence.

To prove Theorem 1 we need

Lemma 4. Let X,  $X_1$  be connected Hausdorff spaces carrying respectively fixed point free period q homeomorphisms  $\beta$  and  $\beta_1$ . Suppose h:  $X \to X_1$  is an imbedding such that (i) for each  $x \in X$ , there is an  $n \ge 1$  for which  $h \circ \beta(x) = \beta_1^n \circ h(x)$  and (ii) there is a point  $a_0 \in X$  such that  $h \circ \beta(a_0) = \beta_1 \circ h(a_0)$ , then  $h \circ \beta(x) = \beta_1 \circ h(x)$  for all x.

<u>Proof.</u> Let  $A_n = \{x \in X: h \circ \beta(x) = \beta_1^n \circ h(x)\}$ . Then each  $A_n$  is closed and  $\{A_n\}$  are pairwise disjoint (mod q). Since X is connected,  $X = A_n$  for some n. By hypothesis (ii), n = 1.

Proof of Theorem 1. Let  $\beta, \beta_1 \colon E \to E$  be fixed point free periodic homeomorphisms of period q. Fix any  $a_0 \in S^1$  and  $b_0 \in E$ . By Lemma 1 there are one-to-one maps  $\widetilde{f}, \widetilde{g} \colon (\lim S^{2n-1}, a_0) \to (E, b_0)$  satisfying  $\widetilde{f} \circ \alpha_1(a_0) = \beta(b_0), \widetilde{g} \circ \alpha_1(a_0) = \beta_1(b_0)$  and such that  $\widetilde{f}, \widetilde{g}$  induce homotopy equivalences  $f \colon \lim S^{2n-1}/\alpha_n \to E/\beta$  and  $g \colon \lim S^{2n-1}/\alpha_n \to E/\beta_1$ . Let  $a = p_0(a_0), b = p(b_0)$  and  $b_1 = p_1(b_0), b = p(b_0)$ 



By [8], g o f' is homotopic to a homeomorphism h:  $(E/\beta,b) \rightarrow (E/\beta_1,b_1)$ . Since E is simply connected, h induces a homeomorphism  $h_*: (E,b_0) \rightarrow (E,b_0)$  such that  $p_1 \circ h_* = h \circ p$ . The usual construction of  $h_*$  goes as follows. Let any  $x \in E$ , let  $\mu$ :  $([0,1],0) \rightarrow (E,b_0)$  be any map such that  $\mu(1) = x$ . The composition  $h \circ p \circ \mu$ :  $([0,1],0) \rightarrow (E/\beta,b_1)$  lifts to a map  $\widetilde{\mu}$ :  $([0,1],0) \rightarrow (E,b_0)$ . Define  $h_*$ :  $E \rightarrow E$  by  $h_*(x) = \widetilde{\mu}(1)$ . Then  $h_*$  has the required properties.

For any  $x \in E$ , there is an  $i \ge 1$  for which  $h_* \circ \beta(x) = \beta_1^1 \circ h$  (x). We assert that i = 1 for all x (hence proving Theorem 1). In view of Lemma 4, we need only to verify  $h_* \circ \beta(b_0) = \beta_1 \circ h_*(b_0)$ . To see this suppose  $\lambda \colon ([0,1],0) \rightarrow (E,a_0)$  is a map such that  $\lambda(1) = \alpha_1(a_0)$ . Then  $\mu = \widetilde{f} \circ \lambda$  and  $\mu_1 = \widetilde{g} \circ \lambda$  are maps satisfying  $\mu(1) = \beta(b_0)$  and  $\mu_1(1) = \beta_1(b_0)$ . Since  $f' \circ p \circ \widetilde{f} \circ \lambda \sim p_0 \circ \lambda$ ,

h o p o 
$$\mu$$

o g o f' o p o  $\tilde{f}$  o  $\lambda$ 

= g o f' o f o  $p_0$  o  $\lambda$ 

o g o  $p_0$  o  $\lambda$ 

=  $p_1$  o  $\tilde{g}$  o  $\lambda$ 

=  $p_1$  o  $\mu_1$ 

Hence

$$h_{\star} \circ \beta(b_{0}) = \mu_{1}(b_{0}) = \beta_{1}(b_{0}) = \beta_{1} \circ h_{\star} (b_{0}).$$

Proof of Theorem 5. Let  $p_1$ :  $E \to M$  be given by the theorem. For any fixed point free period q homeomorphism  $\beta_0$  on E (see West ([15]) for the existence of  $\beta_0$ ), let  $p: E \to E/\beta_0$  be the projection. By Theorem 4 there is a homeomorphism  $h: E/\beta_0 \to M$ . A then induces a fibre homeomorphism  $h_*: E \to E$ . Let  $\beta = h_* \circ \beta_0 \circ h_*^{-1}$ .  $\beta$  satisfies the requirements of the theorem.

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